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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/604,564	06/27/2000	Marco A. DeMello	MSFT-0123/154577.1

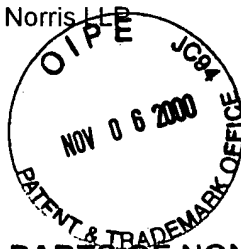
Peter M Ullman  
Woodcock Washburn Kurtz Mackiewicz & Norris LLP  
One Liberty Place 46th Floor  
Philadelphia, PA 19103

## FORMALITIES LETTER



\*OC000000005462117\*

Date Mailed: 10/10/2000



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/09/2000 KZWDIE 00000013 09604564 130.00 DP  
01 FC:105

10/10/00

DOCKET NO.: MSFT-0123/154577.1

PATENT

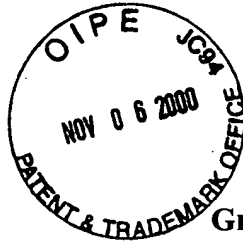
sector / 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marco DeMello et al.

Serial No.: 09/604,564



Group No.: 2131

Filing Date: June 27, 2000

Examiner: Not Yet Assigned

For: **SYSTEM AND METHOD FOR ACTIVATING A RENDERING DEVICE IN  
A MULTI-LEVEL RIGHTS-MANAGEMENT ARCHITECTURE**

DATE OF DEPOSIT: 11-3-00  
I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED  
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DC 20231.

TYPED NAME: Peter M. Ullman  
REGISTRATION NO.: 43,963

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the "Notice to File Missing Parts of Application--Filing Date Granted" dated  
October 10, 2000, a response to which is due December 10, 2000, enclosed herewith for filing is:

- ☒ The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. EL531436032US on June 27, 2000.
- ☒ An Associate Power of Attorney is also enclosed.
- ☒ Request for Corrected Filing Receipt.

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a Statement previously submitted on @@.
- ☐ The original executed Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 is filed herewith.
- ☐ A Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 has been forwarded to the Refund Section, Accounting Division, Office of Finance on @. A copy of this Statement Claiming Small Entity Status form and the Request for Refund form is enclosed for your convenience.
- ☒ A check in the amount of \$130.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

Date: 11-3-00



Peter M. Ullman  
Registration No. 43,963

**DOCKET NO.: MSFT-0123/154577.1**

**- 3 -**

**PATENT**

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DOCKET NO.: MSFT-0123/154577.1

PATENT

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Marco DeMello et al.

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ADDRESSED TO OFFICE OF INITIAL PATENT EXAMINATION,  
CUSTOMER SERVICE CENTER, ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, DC 20231

TYPED NAME: PETER M. ULLMAN  
REGISTRATION NO.: 43,963

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
Washington DC 20231  
Attention:

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:


☒ **incorrectly entered; and/or**

☒ **omitted.**

<i>Error in</i>	<i>Correct data</i>
<b><i>Applicants' names:</i></b> the names of three of the inventors are either misspelled or incomplete on the Filing Receipt	Marco A. DeMello Attila Narin <b>Venkateshaiah Setty</b> Pavel Zeman <b>VinayKrishnaswamy</b> John L. Manferdelli Frank D. Byrum Leroy B. Keely Yoram Yaacovi <b>Jeffrey A. Alger</b>
<b><i>Applicant's address:</i></b> the residence of inventor Venkateshaiah Setty is missing	<b>Venkateshaiah Setty</b> Bellevue, Washington

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: 11-3-00

  
**PETER M. ULLMAN**  
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OCT 14 2000



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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/604,564	06/27/2000	2131	1350	MSFT- 0123/154577.1	5	48	5

## FILING RECEIPT

Peter M Ullman  
Woodcock Washburn Kurtz Mackiewicz & Norris LLP  
One Liberty Place 46th Floor  
Philadelphia, PA 19103



\*OC000000005462116\*

Date Mailed: 10/10/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Marco A. DeMello, Redmond, WA ;  
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Leroy B. Keely, Portola Valley, CA ;  
Yoram Yaacovi, Redmond, WA ;  
Jeff Alger, Redmond, WA ; *Jeffrey A. Alger*

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 10/10/2000

## Title

System and method for activating a rendering device in a multi-level rights-management architecture

## Preliminary Class